



Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	DownGrade Date	Remarks
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**A. During the Quarter<sup>1</sup>**

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**B. As on date<sup>2</sup>**

1	6.00% Ahemdabad Municipal Corp	OMUN	1,005.00	31-Mar-05	CRISIL	AA	A+	22-Aug-08	Unapproved
2	8.57% Central Bank 2025	OLDB	974.14	11-Jun-10	CARE	AA	AA-	06-Jul-12	Unapproved
3	10.00% DHFL 2017	HTDA	4,485.75	24-Nov-10	ICRA	AAA	AA	25-Nov-10	Approved
4	11.25% Deccan Chronicle 2012	OLDB	2,994.46	00-Jan-00	CARE	P1+	D	02-Jul-13	Unapproved
5	10.10% First Blue Home Fin 2016	HTDA	500.00	01-Nov-10	ICRA	AA+	AA	30-Jun-11	Approved
6	9.50% Gammon India 2020	IODS	2,000.00	18-Jun-10	CARE	AA	BBB+	28-Sep-12	Unapproved
7	11.40% Lakshmi Vilas Bank 2018	OLDB	2,000.00	01-Jan-12	CARE	A-	BBB+	31-Jan-13	Unapproved
8	9.75% JSL Ltd 2022	OLDB	989.50	00-Jan-00	CARE	B	D	26-Apr-12	Unapproved
9	2.00% Indian Hotels 2014	ECOS	1,500.61	22-Dec-09	ICRA	AA+	AA	14-Sep-12	Approved
10	2.00% Indian Hotel 2017	ECOS	1,497.47	25-Apr-12	ICRA	AA+	AA	14-Sep-12	Approved
11	9.90% Indian Hotels 2017	ECOS	2,500.00	27-Feb-12	ICRA	AA+	AA	14-Sep-12	Approved
12	9.25% OBC 2016	ECOS	502.50	05-Oct-06	ICRA	AAA	AA-	02-Apr-12	Unapproved
13	8.75% OBC 2024	ECOS	2,017.68	11-May-09	ICRA	AAA	AA	02-Apr-12	Approved
14	9.80% Patel Engg Ltd 2016	OLDB	2,000.00	27-Jul-09	CARE	AAA	A	18-Mar-13	Unapproved
15	10.00% Punj Lloyd Ltd 2014	IODS	300.00	22-Sep-09	CARE	AA	A	31-Dec-12	Unapproved
16	10.00% Punj Lloyd Ltd 2014	IODS	300.00	22-Sep-09	CARE	AA	A	31-Dec-12	Unapproved
17	10.00% Reliance Infra, 2020	IODS	2,500.00	06-Aug-13	CARE	AA	AA-	30-Sep-13	Unapproved
18	11.15% Reliance Infra Ltd 2016	IODS	2,526.06	30-Mar-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
19	10.50% Reliance Infra Ltd 2018	IODS	2,500.00	27-Jan-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
20	11.15% Reliance Infra Ltd 2018	IODS	2,016.00	18-Apr-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
21	10.75% Simplex infra 2022	IODS	1,000.00	06-Dec-12	CARE	AA	AA-	06-Sep-13	Unapproved
22	11.00% Simplex Infra 2022	IODS	1,500.00	29-Jun-12	CARE	AA	AA-	06-Sep-13	Unapproved
23	11.40% Srei Infra Fin Ltd 2014	IODS	1,500.00	21-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
24	11.40% Srei Infra Fin Ltd 2015	IODS	1,000.00	31-May-12	CARE	AA	AA-	03-Dec-12	Unapproved
25	11.75% Srei Infra Fin Ltd 2016	IODS	1,500.00	09-Sep-11	CARE	AA	AA-	03-Dec-12	Unapproved
26	11.30% Srei Infra Fin Ltd 2017	IODS	2,500.00	19-Jul-12	CARE	AA	AA-	03-Dec-12	Unapproved
27	11.75% Srei Infra Fin Ltd 2017	IODS	1,507.39	12-Jan-12	CARE	AA	AA-	03-Dec-12	Unapproved
28	11.85% Srei Infra Fin Ltd 2017	IODS	2,000.00	30-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
29	6.00% Tata Power 2015	ICTD	698.97	09-Jan-97	ICRA	AAA	AA	17-Jul-07	Approved
30	11.00% Tata Communications 2014	ICTD	500.00	29-Jan-09	CARE	AAA	AA+	30-Nov-10	Approved
31	11.20% Tata Communications 2016	ICTD	500.00	23-Jan-09	CARE	AAA	AA+	30-Nov-10	Approved
32	9.85% Tata Communications 2019	ICTD	1,018.51	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
33	11.00% TNEB 2013	SGOA	700.00	14-Nov-08	ICRA	A+	A-	30-Sep-11	Approved
34	8.64% TNEB 2020	SGOA	1,004.20	11-May-10	ICRA	A+	A-	30-Sep-11	Approved
35	8.00% WBIDFC 2015	ISAS	2,003.55	02-Apr-05	CARE	A	A-	31-Mar-09	Approved

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 31.01.2014

**MVV CHALAM**  
 Chief of Finance

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04