

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**Statement as on : **30-Sep-2014****STATEMENT OF DOWN GRADED INVESTMENT**Periodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	DownGrade Date	Remarks
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A. During the Quarter¹

1	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CARE	AAA	AA+	04-Jul-14	Approved
2	11.75% Magma Fin Corp 2017	OLDB	1,500.00	05-Dec-11	CARE	AA	AA-	01-Aug-14	Unapproved
3	11.50% Magma Fin Corp 2018	OLDB	1,498.50	06-Sep-12	CARE	AA	AA-	01-Aug-14	Unapproved

B. As on date²

1	6.00% Ahmedabad Municipal Corp	OMUN	510.00	31-Mar-05	CRISIL	AA	AA-	05-Sep-14	Unapproved
2	8.57% Central Bank 2025	OLDB	974.14	11-Jun-10	CARE	AA	A+	11-Jan-14	Unapproved
3	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CARE	AAA	AA+	04-Jul-14	Approved
4	10.00% DHFL 2017	HTDA	4,485.75	24-Nov-10	ICRA	AAA	AA	25-Nov-10	Approved
5	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-13	Unapproved
6	10.10% First Blue Home Fin 2016	HTDA	500.00	01-Nov-10	ICRA	AA+	AA	30-Jun-11	Approved
7	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
8	9.50% Gammon India 2020	IODS	2,000.00	18-Jun-10	CARE	AA	BBB+	28-Sep-12	Unapproved
9	11.40% Lakshmi Vilas Bank 2018	OLDB	2,000.00	01-Jan-12	CARE	A-	BBB+	31-Jan-13	Unapproved
10	9.75% JSL Ltd 2022	OLDB	967.00	31-Mar-10	CARE	B	D	26-Apr-12	Unapproved
11	2.00% Indian Hotels 2014	ECOS	1,500.91	22-Dec-09	ICRA	AA+	AA	14-Sep-12	Approved
12	2.00% Indian Hotel 2017	ECOS	1,497.47	25-Apr-12	ICRA	AA+	AA	14-Sep-12	Approved
13	9.90% Indian Hotels 2017	ECOS	2,500.00	27-Feb-12	ICRA	AA+	AA	14-Sep-12	Approved
14	11.75% Magma Fin Corp 2017	OLDB	1,500.00	05-Dec-11	CARE	AA	AA-	01-Aug-14	Unapproved
15	11.50% Magma Fin Corp 2018	OLDB	1,498.50	06-Sep-12	CARE	AA	AA-	01-Aug-14	Unapproved
16	9.25% OBC 2016	ECOS	501.67	05-Oct-06	ICRA	AAA	AA	02-Apr-12	Approved
17	8.75% OBC 2024	ECOS	2,016.25	11-May-09	ICRA	AAA	AA	02-Apr-12	Approved
18	11.15% Reliance Infra Ltd 2016	IODS	2,500.00	30-Mar-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
19	10.50% Reliance Infra Ltd 2018	IODS	2,510.42	27-Jan-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
20	11.15% Reliance Infra Ltd 2018	IODS	2,500.00	18-Apr-12	CRISIL	AA+	AA-	28-Sep-12	Unapproved
21	10.00% Reliance Infra Ltd 2020	IODS	2,012.31	06-Aug-13	CARE	AA	AA-	30-Sep-13	Unapproved
22	10.75% Simplex infra 2022	IODS	1,000.00	06-Dec-12	CARE	AA	AA-	06-Sep-13	Unapproved
23	11.00% Simplex Infra 2022	IODS	1,500.00	29-Jun-12	CARE	AA	AA-	06-Sep-13	Unapproved
24	11.40% Srei Infra Fin Ltd 2014	IODS	1,500.00	21-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
25	11.40% Srei Infra Fin Ltd 2015	IODS	1,000.00	31-May-12	CARE	AA	AA-	03-Dec-12	Unapproved
26	11.75% Srei Infra Fin Ltd 2016	IODS	1,500.00	09-Sep-11	CARE	AA	AA-	03-Dec-12	Unapproved
27	11.30% Srei Infra Fin Ltd 2017	IODS	2,500.00	19-Jul-12	CARE	AA	AA-	03-Dec-12	Unapproved
28	11.75% Srei Infra Fin Ltd 2017	IODS	1,504.93	12-Jan-12	CARE	AA	AA-	03-Dec-12	Unapproved
29	11.85% Srei Infra Fin Ltd 2017	IODS	2,000.00	30-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
30	6.00% Tata Power 2015	IODS	698.97	09-Jan-97	CRISIL	AAA	AA-	10-Jan-14	Unapproved
31	9.15% Tata Power 2021	IODS	497.04	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
32	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
33	9.15% Tata Power 2022	IODS	496.90	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
34	9.15% Tata Power 2023	IODS	496.77	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
35	9.15% Tata Power 2024	IODS	495.85	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
36	9.15% Tata Power 2025	IODS	992.43	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
37	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
38	11.40% Tata Power (Perp)	IODS	2,500.00	02-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
39	11.20% Tata Communications 2016	ICTD	500.00	23-Jan-09	CARE	AAA	AA+	30-Nov-10	Approved

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Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	DownGrade Date	Remarks
40	9.85% Tata Communications 2019	ICTD	1,015.87	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
41	11.00% TNEB 2015	SGOA	700.00	14-Nov-08	ICRA	A+	A-	30-Sep-11	Guranteed
42	8.64% TNEB 2020	SGOA	1,002.80	11-May-10	ICRA	A+	A-	30-Sep-11	Guranteed
43	8.00% WBIDFC 2015	ISAS	2,001.42	02-Apr-05	CARE	A	A-	31-Mar-09	Guranteed

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30.10.2014

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MVV CHALAM

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04